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## Full Length Article

# Experimental investigation on the permeability of gap-graded soil due to horizontal suffusion considering boundary effect



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## ABSTRACT

The boundary condition is a crucial factor affecting the permeability variation due to suffusion. An experimental investigation on the permeability of gap-graded soil due to horizontal suffusion considering the boundary effect is conducted, where the hydraulic head difference ( $\Delta H$ ) varies, and the boundary includes non-loss and soil-loss conditions. Soil samples are filled into seven soil storerooms connected in turn. After evaluation, the variation in content of fine sand ( $\Delta R_f$ ) and the hydraulic conductivity of soils in each storeroom ( $C_i$ ) are analyzed. In the non-loss test, the soil sample filling area is divided into runoff, transited, and accumulated areas according to the negative or positive  $\Delta R_f$  values.  $\Delta R_f$  increases from negative to positive along the seepage path, and  $C_i$  decreases from runoff area to transited area and then rebounds in accumulated area. In the soil-loss test, all soil sample filling areas belong to the runoff area, where the gentle-loss, strengthened-loss, and alleviated-loss parts are further divided.  $\Delta R_f$  decreases from the gentle-loss part to the strengthened-loss part and then rebounds in the alleviated-loss part, and  $C_i$  increases and then decreases along the seepage path. The relationship between  $\Delta R_f$  and  $C_i$  is different with the boundary condition.  $C_i$  exponentially decreases with  $\Delta R_f$  in the non-loss test and increases with  $\Delta R_f$  generally in the soil-loss test.

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## 1. Introduction

Suffusion in soil induced by seepage flow is a typical internal erosion, meaning that movable fine particles selectively migrate through voids formed by coarse particles and the total volume of soil remains (Moffat and Fannin, 2006; Rochim et al., 2017). The suffusion process widely exists in hydraulic and geotechnical engineering (Dong et al., 2018; Yang et al., 2019a, 2019b; Fetrat and Pak, 2020; Wang et al., 2022), such as embankment dams, dikes, levees, foundation pits and tunnels (Foster et al., 2000; Xu et al., 2012, 2013; Wu et al., 2020b, c; Lyu et al., 2021, 2022). Suffusion is more likely to occur in gap-graded soil (Sibille et al., 2015; Yin et al., 2020; Yin and Wang, 2021), and the soil's permeability characteristics, such as particle redistribution, porosity, and

hydraulic conductivity, vary during suffusion (Rochim et al., 2017; Li et al., 2023). These variations result in the degradation of the soil's mechanical properties, and specific disasters are likely to occur if the progressive degradation develops, such as collapsing dams, river banks, ground surfaces (Planes et al., 2016; Tan and Long, 2021; Wang and Xu, 2022), water inrush, and tunnel leakages (Wu et al., 2015, 2017; Tan and Lu, 2017; Ma et al., 2019).

Experimental investigations are convenient and practical to study the permeability variation of soil induced by different suffusion variables (Ke and Takahashi, 2014a, b; Cheng et al., 2017, 2018; Selvadurai and Glowacki, 2018; Benamar et al., 2019; Peng and Rice, 2020), such as the seepage direction (Hosn, 2017; Pachideh and Hosseini, 2018) and the boundary condition (Caldeira, 2019). Experiments based on vertical seepage have been conducted considerably (Chen et al., 2016; Liang et al., 2019; Luo et al., 2019), whereas horizontal seepage widely exists in hydraulic and geotechnical engineering, such as core-wall of embankment dams (Kim et al., 2022), bottom position below waterproof curtain (Xu et al., 2014, 2019; Wang et al., 2019; Wang and Xu, 2021; Zeng et al., 2021a, b, 2022) and middle positions of tunnels (Wu et al., 2020a). Compared to vertical suffusion, the soil's permeability

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changes easier under lower hydraulic gradients and distributes ununiformly after horizontal tests (Liang et al., 2020; Luo et al., 2020; Wang and Xu, 2023). Moreover, the eroded area develops gradually from the upper to the lower area, and the loss velocity of fine particles in the horizontal direction is slower than that in the vertical direction (Liang et al., 2022).

Furthermore, some studies proved the soil's ununiform permeability after suffusion under different boundary conditions (Ke and Takahashi, 2014a; Deng et al., 2020; Luo et al., 2020; Chen et al., 2021). These studies analyzed the permeability variation affected by a single boundary condition, including soil-loss (Sail et al., 2011; Zhong et al., 2018; Chen et al., 2021) or non-loss boundaries (Deng et al., 2020; Luo et al., 2020), respectively, where the soil-loss boundary means that fine particles can dislodge from the soil while the non-loss boundary limits the runoff of fine particles. Nevertheless, both boundaries can exist simultaneously during suffusion in practical engineering, such as embankment dams and tunnels (Pachideh and Hosseini, 2018; Yang et al., 2022). Some studies proposed that the variation in permeability characteristics of soil caused by suffusion under horizontal seepage at different boundary conditions should be studied further (Xiao and Shwiyhat, 2012; Pachideh and Hosseini, 2018).

This paper investigates the variation in soil permeability characteristics due to suffusion by conducting a horizontal seepage experiment considering different boundary conditions. First, the experimental apparatus and scheme are introduced. Then, the test results of the outflow rate, water level, and grading curve are illustrated. Next, the change rate of local fine particles and hydraulic conductivity are analyzed to investigate the permeability variations under different hydraulic head differences. Finally, the effect of the boundary condition on permeability variation is discussed.

## 2. Horizontal suffusion experiment

### 2.1. Experimental apparatus

Fig. 1 shows the sketch map and the picture of the experimental apparatus, which includes a water tank, a horizontal cylinder, water-level monitoring tubes, and a water-collect box with strainers. The water tank, with a length, width, and height of 300 mm, 300 mm, and 400 mm, respectively, provides constant

**Table 1**  
The scheme of experiments.

$\Delta H$ (mm)	Non-loss test		Soil-loss test	
	Number	Outlet condition	Number	Outlet condition
300	U-1	Round plate with filter	O-1	Round plate with
450	U-2	screen ( $d = 0.038$ mm)	O-2	horizontal seam
600	U-3		O-3	( $l = 1.3$ mm)
750	U-4		O-4	
900	U-5		O-5	

hydraulic head difference ( $\Delta H$ ) during the experiment. A flexible pipe connects the water tank with a horizontal cylinder.

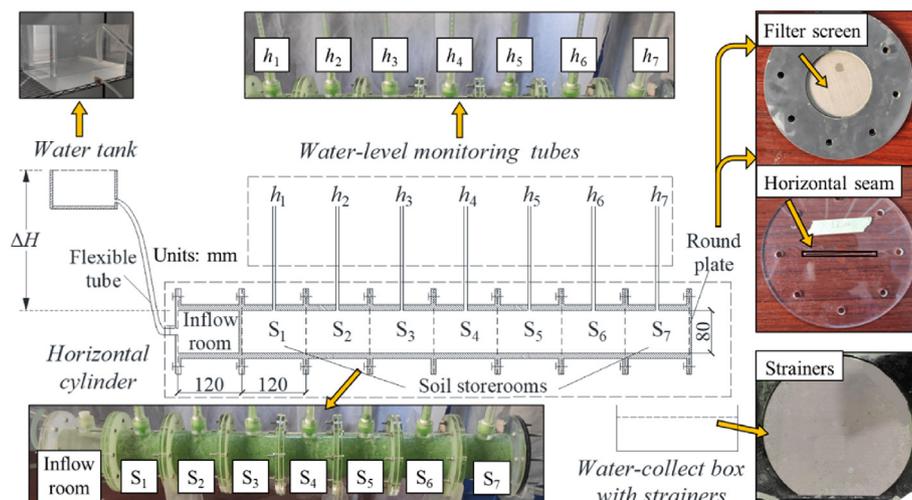
The horizontal cylinder includes an inflow room, seven soil storerooms (labeled from  $S_1$  to  $S_7$  from upstream to downstream), and a round plate. The horizontal cylinder's diameter and total length are 80 mm and 960 mm, respectively. The inflow room and soil storerooms with a length of 120 mm each are connected in turn, and a round plate with a filter screen or horizontal seam is set at the tail of storeroom  $S_7$  to simulate non-loss or soil-loss boundary conditions, respectively. This seam boundary is regarded as a kind of typical leakage type in geotechnical engineering, which can simulate the leakage condition of waterproof curtain in foundation pit engineering, the longitudinal joint of tunnel engineering, core-wall fissure of embankment dams, and so on.

Seven water-level monitoring tubes (labeled from  $h_1$  to  $h_7$ ) with a measuring range from 100 mm to 1000 mm are set at the middle point of corresponding soil storerooms (Fig. 1). The water-collection box with strainers is placed below the outlet of storeroom  $S_7$ , and strainers are set above the water-collect box to separate the dislodged particles and outflow water.

### 2.2. Experimental material and scheme

The experimental material includes coarse white sand and fine green sand. The grain size of coarse sand ranges from 1 mm to 5 mm, and that of fine sand ranges from 0.063 mm to 0.3 mm. Shire et al. (2014) proposed that internal erosion is more likely to occur in the soil with rate of fine particles less than 35%; thus, the mass percentage of fine sand (defined as  $R_f$ ) is set as 15% in this experiment.

Table 1 tabulates the scheme of the suffusion experiment, including the non-loss and soil-loss tests. The  $\Delta H$  value is the



**Fig. 1.** Sketch map and pictures of the experimental apparatus.

experiment's variable, set as 300 mm, 450 mm, 600 mm, 750 mm, and 900 mm, respectively. The non-loss test includes five cases labeled from U-1 to U-5, and the round plate with a filter screen at the tail of storeroom S<sub>7</sub> controls the outlet condition, where the void diameter of the filter screen ( $d$ ) is 0.038 mm to prevent soil loss. The soil-loss test includes five cases labeled from O-1 to O-5, and the round plate with a horizontal seam controls the outlet condition, where the seam width ( $l$ ) is 1.3 mm, allowing fine sand to pass.

The weights of coarse and fine sands are the same in each case to ensure the consistency of mixed sand. The soil's physical properties include the dry density and specific gravity by measurement and the porosity by calculation. Three samples are taken in each case to measure the physical properties. The mixed sand is considered uniform when each sample's measuring error is smaller than 5%. Table 2 tabulates the parameters of the coarse, fine, and mixed soils, and Fig. 2 shows the grain size curves in each case. Based on the grading curves, the mixed sample accords with the gap-graded soil according to the division in ASTM D2487 (2011).

Table 3 illustrates the soil mass in each storeroom before test under non-loss or soil-loss boundary. The standard soil mass in each storeroom is approximately 1.1 kg under same operation procedure, in which the maximum error in storerooms is 0.017 kg, 0.022 kg, 0.021 kg, 0.017 kg, and 0.016 kg in the non-loss test, and the corresponding error is 0.018 kg, 0.023 kg, 0.018 kg, 0.017 kg and 0.015 kg in the soil-loss test. Because the mass of coarse and fine sand, the stirring, filling and saturating procedure is tried to keep consistent, the porosity of sample in each storeroom is considered the same.

### 2.3. Experimental procedure

The experimental procedure is introduced as follows:

- (1) Filling and saturating soil: after smearing vaseline on the inner face of apparatus to avoid contact erosion, the mixed soil is filled in a storeroom layer by layer with a thickness of 20 mm, and then the soil is saturated. Next, the storeroom filled with soil is connected to another storeroom. Soil filling, saturation, and storeroom connection are operated repeatedly until the soils in the seven storerooms are filled and saturated.
- (2) Assembling the apparatus: a horizontal cylinder is formed by connecting the inflow room and soil storerooms, and the inflow room filled with water is connected to the water-supply system. Seven monitoring tubes are assembled at the middle point of each soil storeroom. An additional round plate is set at the tail of horizontal cylinder preventing runoff of water before test. The water tank is lifted to a specific height to provide a constant  $\Delta H$  value. Test cases begin only

when the water level of seven monitoring tubes (from  $h_1$  to  $h_7$ ) equals the water tank's height.

- (3) Experimental process: each case in Table 1 is conducted three times to reduce test errors, and each test case lasts 3 h. During the experimental process, the water-level data of seven monitoring tubes (from  $h_1$  to  $h_7$ ) are recorded every 15 min, and the outflow rate in 1 min ( $Q$ ) is measured by measuring the cylinder simultaneously. Strainers above the water-collection box collect the dislodged particles in the soil-loss test. Soil samples in each storeroom are collected separately after each test case to obtain the grain size curves.

## 3. Experiment results

### 3.1. Suffusion phenomena at the outlet

Fig. 3 shows a picture of suffusion phenomena at storeroom S<sub>7</sub> in cases U-5 and O-5. Coarse white and fine green sands are uniformly distributed before the test (Fig. 3a). After the non-loss test, the green sand area increases noticeably due to the limitation of a filter screen at the tail, where the fine sands accumulate (Fig. 3b). However, after the soil-loss test, the proportion of coarse white sand increases (Fig. 3c) because the fine sands dislodge (Fig. 3d). Since the width of horizontal seam is 1.3 mm, smaller than  $d_{10}$  of coarse sand, it is reasonable to consider there is no loss of coarse sand, and only fine green sand can dislodge.

The soil mass in each soil storeroom after the test is tabulated in Table 4. The total mass difference of the soil in all soil storerooms before and after the test ( $W_t$ ) is smaller than 0.005 kg in non-loss test and that is 0.099 kg, 0.166 kg, 0.190 kg, 0.224 kg and 0.256 kg in soil-loss test.  $W_t$  in soil-loss test is closed to the weight of the soil collected by the strainer shown in Fig. 3d, which are 0.097 kg, 0.163 kg, 0.189 kg, 0.221 kg and 0.255 kg, respectively. Fig. 4 shows the grading curves of dislodged particles. The curves shift down with the increasing  $\Delta H$  and the increasing proportion of particles with large diameters. Besides, the percentage of dislodged particles ( $P_d$ ), defined as the weight ratio of dislodged particles and original fine sand, is obtained as shown in Fig. 5. The value of  $P_d$  increases from 1.29% to 3.31% when  $\Delta H$  increases from 300 mm to 900 mm. That is to say, with the increasing  $\Delta H$ ,  $P_d$  increases due to increasing water pressure.

### 3.2. Outflow rate

Fig. 5 shows the change in  $Q$  with time ( $T$ ), in which the variation tendency of  $Q$  differs with boundaries. In the non-loss test, the value of  $Q$  varies from 15.2 mL/min to 12.4 mL/min and from 47 mL/min to 44.3 mL/min when  $\Delta H$  is 300 mm or 450 mm, respectively, and the corresponding difference is only 2.8 mL/min and 2.7 mL/min. This phenomenon indicates the suffusion process is relatively gentle under the comprehensive effect of low  $\Delta H$  and non-loss boundary generally. When  $\Delta H$  is 600 mm,  $Q$  increases from 102.7 mL/min to 114.6 mL/min with little fluctuation, reflecting the suffusion process enhances with increasing  $\Delta H$ . When  $\Delta H$  is 750 mm or 900 mm,  $Q$  increases over time obviously first and then remains stable, and the corresponding increment is 73.1 mL/min and 57.8 mL/min, respectively, indicating that the suffusion process results in obvious variation in permeability of soils.

In the soil-loss test, all the values of  $Q$  are also larger than that in corresponding cases of non-loss test, indicating that the suffusion process is easier to develop under the soil-loss boundary compared with the non-loss boundary. When  $\Delta H$  is 300 mm or 450 mm, the value and change in  $Q$  are also small and the suffusion process is also relatively gentle. When  $\Delta H$  is larger than 450 mm,  $Q$  decreases near-linearly with the increasing  $\Delta H$ . The value of  $Q$  is 154 mL/min,

**Table 2**  
Parameters of sandy soil.

Parameter	Value		
	Coarse sand	Fine sand	Mixed sand
Mass, $m$ (kg)	6.8	1.2	8
Dry density, $\rho_d$ (g/cm <sup>3</sup> )	1.48	1.84	1.81
Porosity, $n$	0.45	0.31	0.32
Specific gravity, $G_s$	2.65	2.66	2.65
Effective grain size, $d_{10}$ (mm)	1.394	0.055	0.158
Continuous grain size, $d_{30}$ (mm)	2.016	0.069	2.014
Constrained grain size, $d_{60}$ (mm)	2.232	0.111	2.971
Coefficient of uniformity, $C_u$	1.6	2.03	18.9
Coefficient of curvature, $C_c$	1.31	0.78	8.67

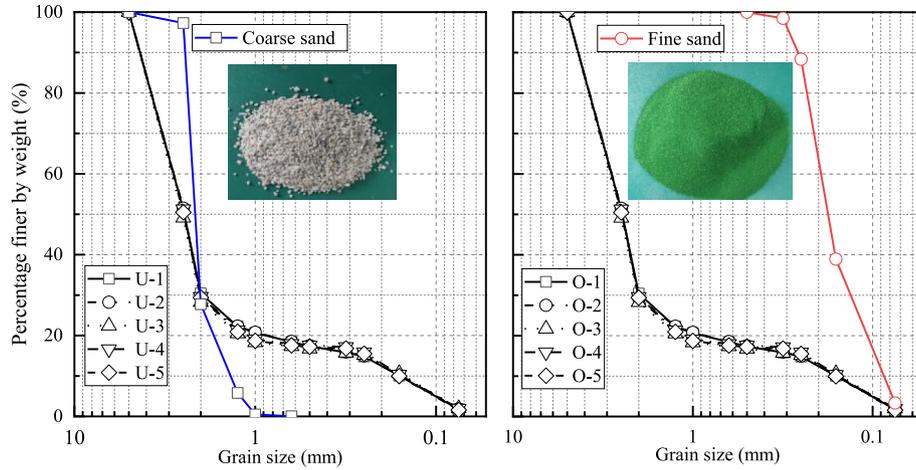


Fig. 2. Grain size curves of soil sample.

**Table 3**  
Soil mass in each storeroom before the test.

Soil storeroom	Soil mass in non-loss test (kg)					Soil mass in soil-loss test (kg)				
	U-1	U-2	U-3	U-4	U-5	O-1	O-2	O-3	O-4	O-5
S <sub>1</sub>	1.108	1.109	1.114	1.097	1.102	1.098	1.115	1.088	1.108	1.095
S <sub>2</sub>	1.092	1.09	1.105	1.104	1.089	1.092	1.101	1.103	1.104	1.11
S <sub>3</sub>	1.115	1.112	1.093	1.108	1.105	1.1	1.092	1.096	1.098	1.096
S <sub>4</sub>	1.103	1.101	1.106	1.096	1.097	1.092	1.1	1.097	1.091	1.096
S <sub>5</sub>	1.098	1.1	1.103	1.095	1.103	1.104	1.107	1.101	1.1	1.109
S <sub>6</sub>	1.1	1.096	1.098	1.1	1.101	1.11	1.093	1.106	1.096	1.1
S <sub>7</sub>	1.099	1.096	1.098	1.112	1.102	1.102	1.096	1.104	1.097	1.102
Total	7.715	7.704	7.717	7.712	7.699	7.698	7.704	7.695	7.694	7.708

179.6 mL/min, and 292 mL/min at 5 min and decreases to 122.5 mL/min, 146.4 mL/min, and 254.3 mL/min at 180 min when  $\Delta H$  is 600 mm, 750 mm, and 900 mm, respectively.

3.3. Water level

Fig. 6 shows the observed water-level variations of the monitoring tubes ( $h$ ) with  $T$  and  $L$  in Case U-5, where  $L$  is defined as the

distance away from the left side of storeroom S<sub>1</sub>. An additional round plate is set at the tail of horizontal cylinder preventing runoff of water before experiment. The water tank is slowly lifted to 900 mm before moving away the additional round plate, hence all the values of  $h$  in the monitoring tubes are 900 mm at start time.

Fig. 6a shows that  $h$  decreases first because the original voids may be occupied and even blocked by migrated fine sands, then  $h$  recovers because the blocked voids may open with the continuous scouring effect, and  $h$  increases slowly indicating that the suffusion process tends to be steady. This phenomenon is also proved by some researches (Ke and Takahashi, 2014a; Sibille et al., 2015; Rochim et al., 2017; Deng et al., 2020). The increasing velocity of  $h$  slows after 105 min, indicating a steady suffusion process. For example,  $h_7$  decreases from 605 mm at 5 min to 513 mm at 30 min, recovers to 593 mm at 105 min and then increases to 623 mm at 180 min.

Fig. 6b shows that the relationship between  $h$  and  $L$  at 5 min and 45 min can be fitted linearly, indicating the permeability uniformly distributes. The variation rate of  $h$  with  $L$  increases from 37.8% at 5 min to 48.9% at 45 min. With continuous suffusion, the linear correlation of  $h$  and  $L$  weakens, and the distribution of  $h$  after 105 min can be divided into three parts. The slope of  $h$  from storerooms S<sub>1</sub> to S<sub>3</sub> is larger than the slope from storerooms S<sub>3</sub> to S<sub>6</sub>, and the slope from storerooms S<sub>6</sub> to S<sub>7</sub> recovers. This phenomenon presents at 180 min, indicating the permeability is not uniformly distributed along the seepage path after suffusion.

Fig. 7 shows the variation in  $h$  with  $T$  and  $L$  in case O-5. All  $h$  decreases quickly in first 5 min because the soil-loss boundary allows both soil and water pass, then  $h$  increases gently with the increasing  $T$  after 5 min (Fig. 7a) since the continuous scouring effect. Due to the limitation of the monitoring tubes' measuring

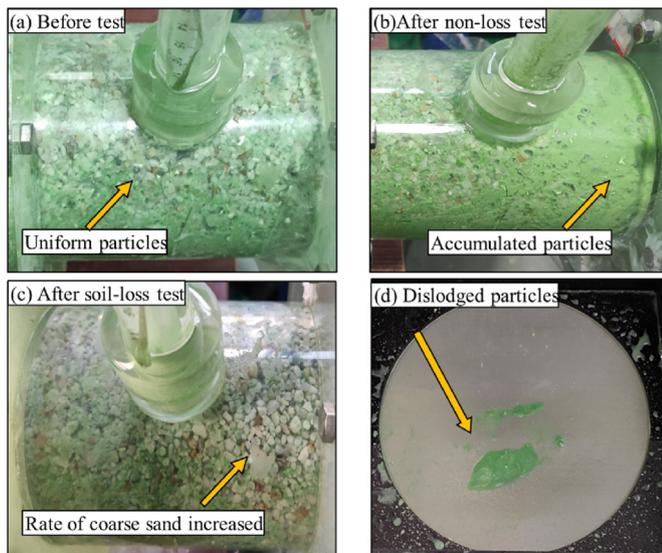
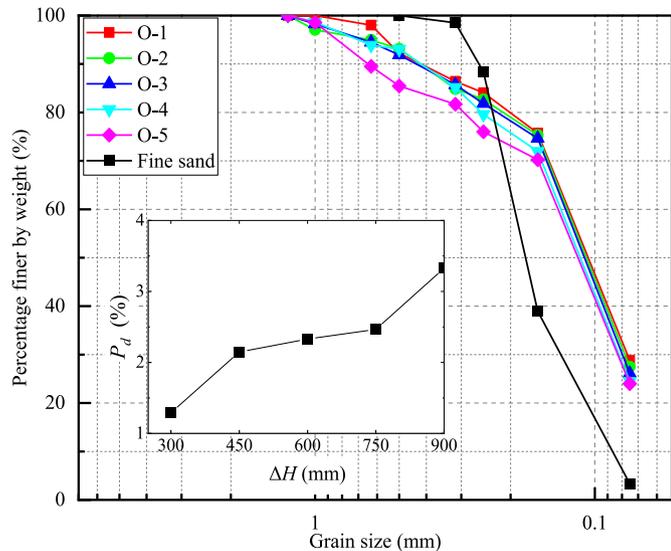


Fig. 3. Picture of suffusion phenomena at storeroom S<sub>7</sub> in cases U-5 and O-5.

**Table 4**  
Soil mass in each storeroom after the test.

Soil storeroom	Soil mass in non-loss test (kg)					Soil mass in soil-loss test (kg)				
	U-1	U-2	U-3	U-4	U-5	O-1	O-2	O-3	O-4	O-5
S <sub>1</sub>	1.105	1.106	1.103	1.077	1.081	1.093	1.102	1.076	1.095	1.077
S <sub>2</sub>	1.09	1.089	1.094	1.088	1.068	1.083	1.085	1.088	1.086	1.09
S <sub>3</sub>	1.114	1.111	1.083	1.094	1.087	1.091	1.075	1.08	1.074	1.071
S <sub>4</sub>	1.102	1.1	1.101	1.087	1.082	1.077	1.081	1.076	1.063	1.064
S <sub>5</sub>	1.097	1.098	1.101	1.089	1.093	1.083	1.072	1.058	1.053	1.054
S <sub>6</sub>	1.102	1.099	1.112	1.122	1.133	1.087	1.056	1.061	1.047	1.045
S <sub>7</sub>	1.1	1.1	1.116	1.153	1.152	1.086	1.067	1.065	1.053	1.052
Total	7.709	7.703	7.712	7.71	7.697	7.599	7.538	7.505	7.47	7.452
W <sub>t</sub>	0.006	0.001	0.005	0.002	0.002	0.099	0.166	0.19	0.224	0.256



**Fig. 4.** Grading curves and loss rate of dislodged particles after the soil-loss test.

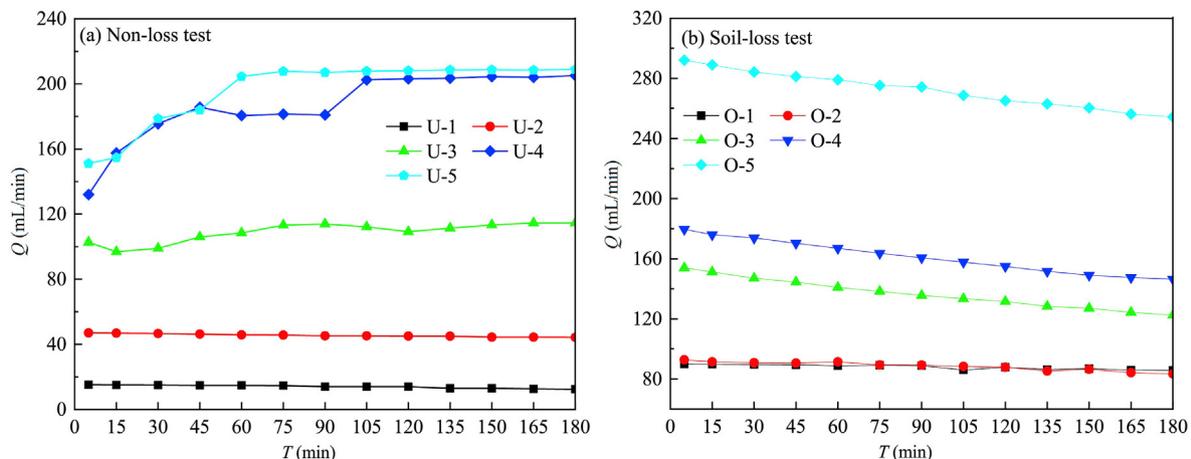
range where only value of  $h$  over 100 mm can be measured, the value of  $h_7$  for the first 90 min which under 100 mm is not shown in Fig. 7a.

Fig. 7b shows the relationship between  $h$  and  $L$  in case O-5. The relationship between  $h$  and  $L$  at 5 min can be fitted linearly with a slope of  $-1.066$ , indicating that the drawdown of  $h$  is approximately 106.6 mm with each increase in  $L$  in 100 mm. This

phenomenon indicates that although the local permeability of soil varies in the suffusion process, the general permeability of soil is also in accordance with the Darcy' law. With continuous suffusion, the variation rate of  $h$  along  $L$  decreases with  $T$ , i.e. the permeability enhances gradually at the soil-loss boundary because the loss of  $h$  in unit  $L$  decreases. Furthermore, the local permeability of soil presents obvious difference after suffusion experiment. For example, the distribution of  $h$  at 180 min can be divided into two parts. The fitting line slope from storerooms S<sub>1</sub> to S<sub>4</sub> is  $-0.907$ , while that from storerooms S<sub>5</sub> to S<sub>7</sub> is  $-1.092$ , indicating that the permeability of soil in storerooms S<sub>5</sub> to S<sub>7</sub> is larger than that in storerooms S<sub>1</sub> to S<sub>4</sub> after the soil-loss test. However, the phenomenon of local variation in permeability of soil under soil-loss boundary is not obvious compared with that under the non-loss boundary, which may be attributed to the accumulation degree of fine sand that is not strong.

3.4. Grading curve

The bolts connected two adjacent soil-storage chambers are released after the tests, and a very thin sheet iron is penetrated into the small gap formed by release to separate soil samples in each storeroom, then the grain size curves of the soil in each storeroom after the test is obtained. Fig. 8 shows the grain size curves after the test in cases U-5 and O-5, where all grain size curves vary from the original curve (labeled S<sub>og</sub>), and the value of fine sand of each curve are listed to present the change in content of fine sand. Since each soil storeroom's original curves before the test are very close, the curve S<sub>og</sub> is taken by the mixed sand before the corresponding test (Fig. 2). The coarse particles are considered static, and the fine sand



**Fig. 5.** Variation in  $Q$  with  $T$ : (a) Non-loss test, and (b) Soil-loss test.

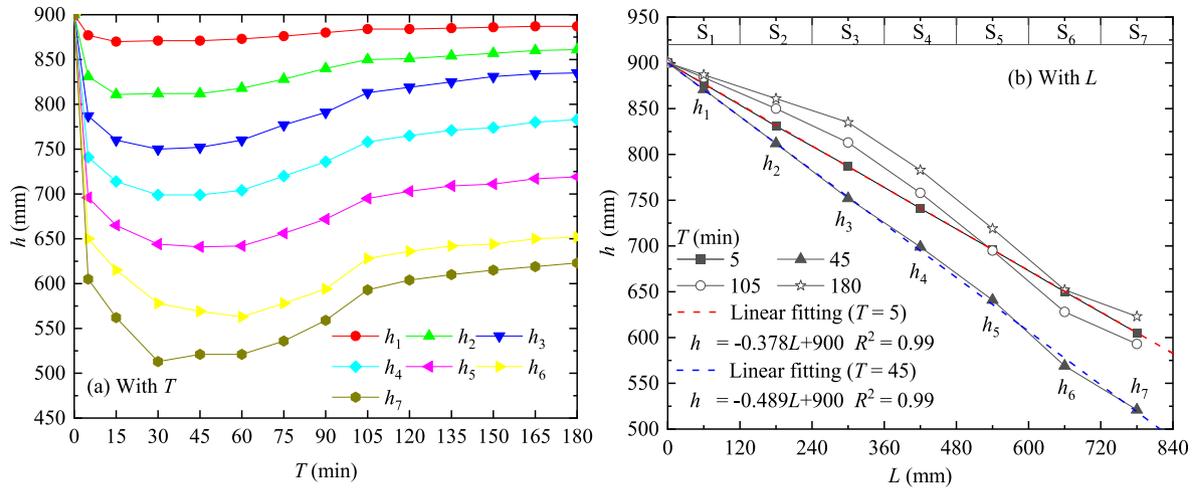


Fig. 6. Variation in  $h$  in case U-5: (a) With  $T$ , and (b) With  $L$ .

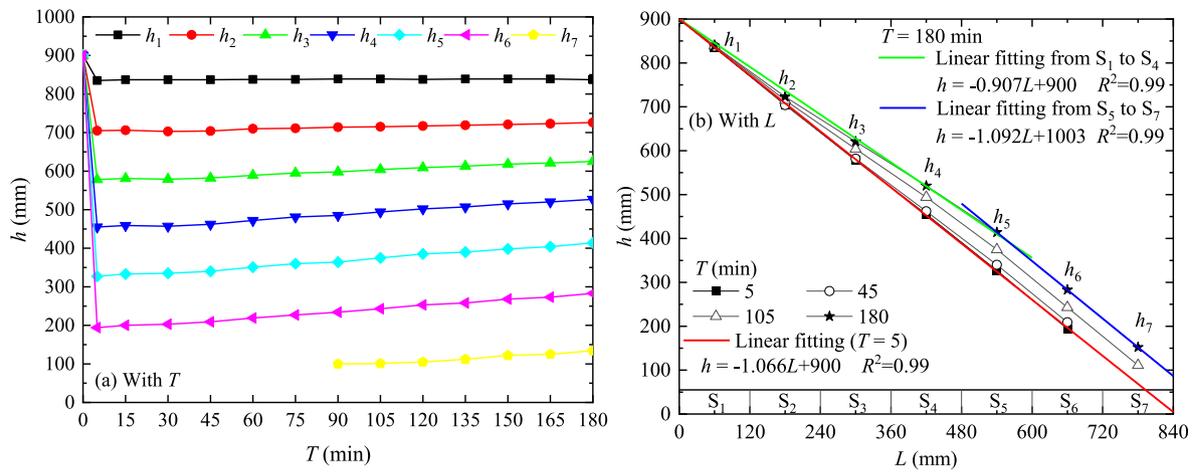


Fig. 7. Variation in  $h$  in case O-5: (a) With  $T$ , and (b) With  $L$ .

are considered movable. Hence, the migration and loss of fine sand cause different curves at different positions. Fig. 8a shows that the curves of storerooms  $S_6$  and  $S_7$  shift up, reflecting the accumulation of fine sand after the non-loss test. The curves from storerooms  $S_1$  and  $S_5$  shift down, reflecting the dislodgment of fine sand, and the dislodged degree decreases along the seepage path before storeroom  $S_5$ .

Fig. 8b shows that all curves shift down compared with the  $S_{og}$ , indicating the dislodgment of fine sand after the soil-loss test. The dislodged degree of fine sand decreases slightly along the seepage path from storerooms  $S_1$  to  $S_6$ . It is worth mentioning that because the horizontal seam is at the middle height of the round section in storeroom  $S_7$ , the fine sand at the lower semicircle cannot run off, and the dislodged degree of fine sand is smaller than that in storerooms  $S_5$  and  $S_6$ . Therefore, the dislodged boundary's shape influences the dislodged degree of particles significantly, and this conclusion correlates with the research by Zhang et al. (2019).

#### 4. Investigation on the permeability variation due to suffusion

Under different values of  $\Delta H$ , the migration of fine sand results in nonuniform distribution inside the soil along the seepage path, influencing the permeability of sandy soil. The indices related to

soil permeability, including the variation content of fine sand (labeled  $\Delta R_f$ ) and change rate of hydraulic conductivity (labeled  $C_i$ ), will be analyzed below. The  $\Delta R_f$  at a specific position is defined as

$$\Delta R_f = R'_f - R_f \tag{1}$$

where  $R'_f$  is the content of fine sand after the non-loss or soil-loss tests at a specific position. These two parameters are obtained from the corresponding grading curve. The hydraulic conductivity of soils in each storeroom (labeled  $k_i$ ) can be calculated using Darcy's law, and the change rate of  $k_i$  (labeled  $C_i$ ) is defined as

$$\left. \begin{aligned} \Delta h_i &= \frac{h_{i-1} + h_i}{2} - \frac{h_i + h_{i+1}}{2} \\ k_i &= \frac{Q\Delta L_i}{A\Delta h_i} \\ C_i &= \frac{k'_i - k_i}{k_i} \end{aligned} \right\} \tag{2}$$

where  $\Delta h_i$  is the difference in water levels in storeroom  $S_i$ , and the water levels at both ends are calculated using linear interpolation of the observed data;  $A$  is the seepage section area;  $\Delta L_i$  is each

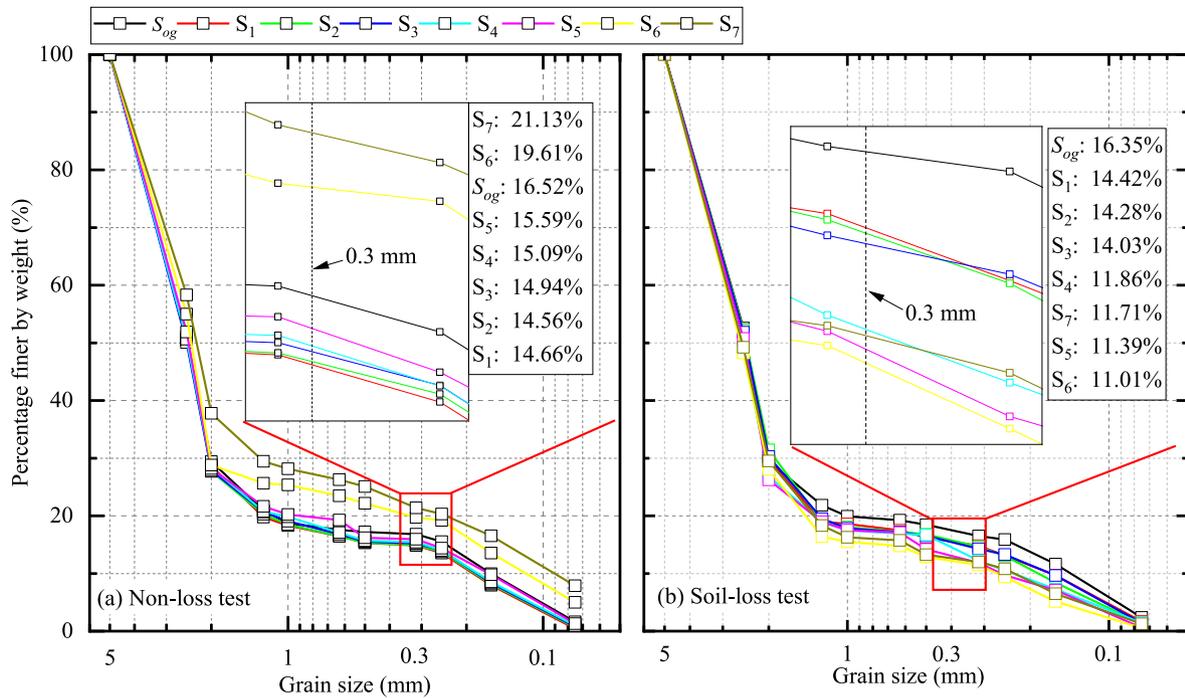


Fig. 8. Grain composition after the test: (a) Case U-5, and (b) Case O-5.

storeroom's seepage length; and  $k'_1$  is the hydraulic conductivity after the test. Since the hydraulic head at the inlet position of storeroom  $S_1$  can be seen as a constant value,  $k_1$  is calculated by the difference between the hydraulic head at the inlet and the average values of  $h_1$  and  $h_2$ .

4.1. Non-loss boundary test

4.1.1. Investigation on  $\Delta R_f$

Fig. 9 shows the variation in  $\Delta R_f$  with  $L$  after the non-loss test and all  $\Delta R_f$  increase from negative to positive. According to the positive and negative values of  $\Delta R_f$ , three areas can be divided along the seepage path: runoff, transited, and accumulated.

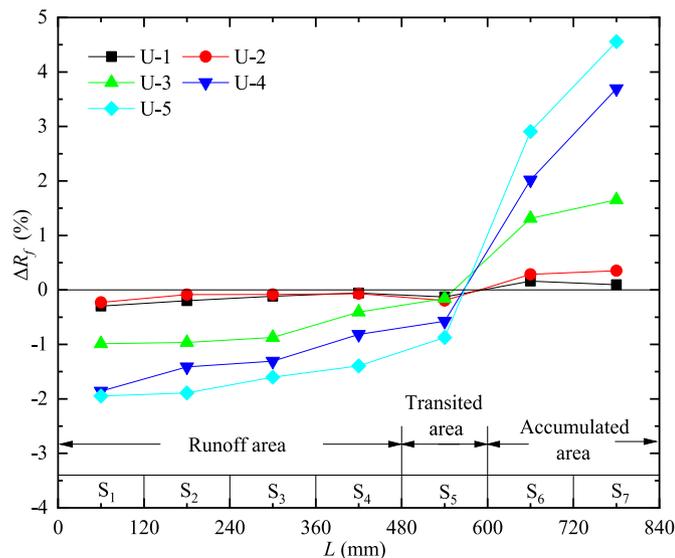


Fig. 9. Variation in  $\Delta R_f$  with  $L$  after non-loss test.

area with negative values of  $\Delta R_f$  ranges from storerooms  $S_1$  to  $S_4$ , and the accumulated area with positive values of  $\Delta R_f$  includes storerooms  $S_6$  and  $S_7$ . There should exist a value where  $\Delta R_f$  transfers from negative to positive value, and this point varies with different values of  $\Delta H$ . By linear connection, all the  $X$ -coordinates of the point where  $\Delta R_f$  equals zero all locate in storeroom  $S_5$ , and the values are 593.6 mm, 588.6 mm, 552.9 mm, 566.6 mm and 567.7 mm when  $\Delta H$  increases from 300 mm to 900 mm. Storeroom  $S_5$  is the transited area because the state of fine sand changes from the runoff condition to the accumulated condition in the non-loss test case.

When  $\Delta H$  is low (300 mm or 450 mm), all  $\Delta R_f$  values are smaller than 0.5%, indicating a slight migration degree of fine sand. Then, the distribution of  $\Delta R_f$  in the runoff area shifts down with the

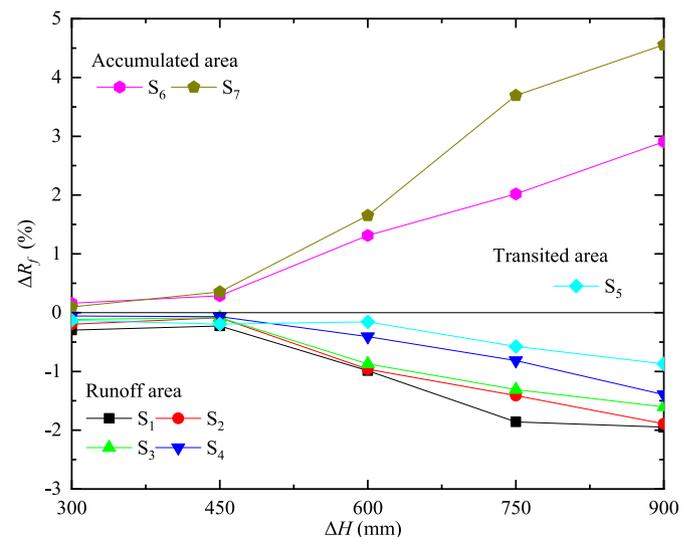


Fig. 10. Variation in  $\Delta R_f$  with  $\Delta H$  after non-loss test.

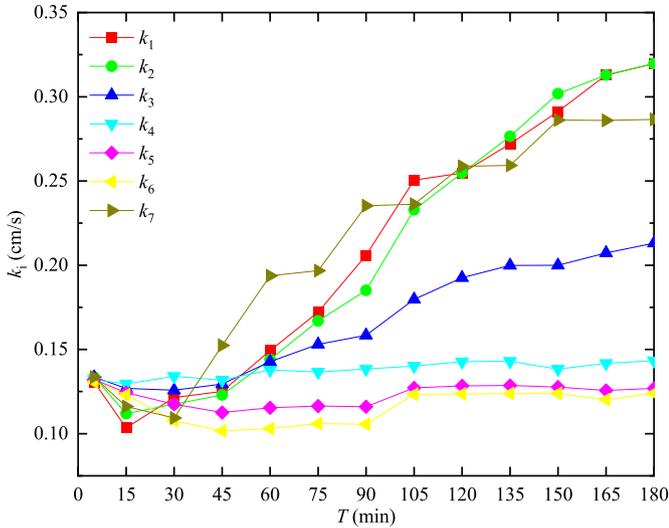


Fig. 11. Variation in  $k_i$  with  $T$  in case U-5.

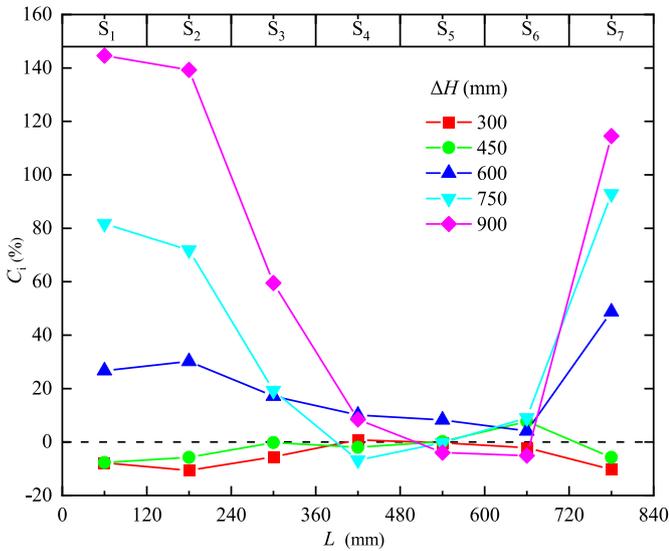


Fig. 12. Distribution of  $C_i$  with  $L$  after non-loss test.

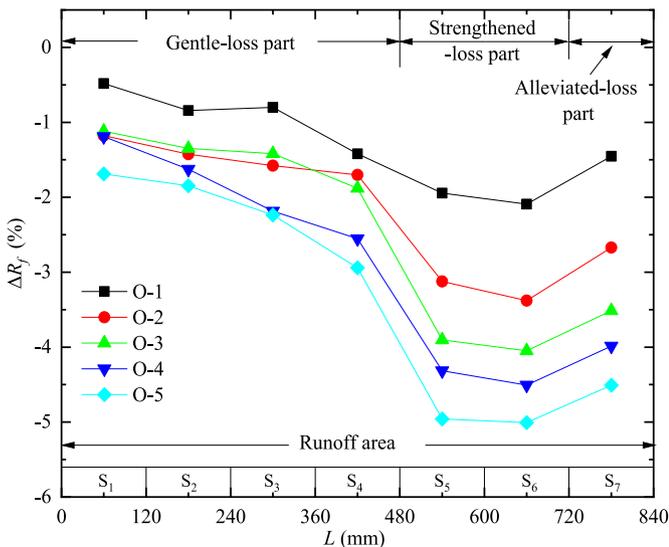


Fig. 13. Variation in  $\Delta R_f$  with  $L$  after soil-loss test.

increasing  $\Delta H$ , whereas the distribution of  $\Delta R_f$  in the accumulated area shifts up with the increasing  $\Delta H$ . The minimal and maximal values of  $\Delta R_f$  occur at storerooms  $S_1$  and  $S_7$ , where the loss and accumulated degree of particles are the most severe. The difference value of  $\Delta R_f$  between storerooms  $S_1$  and  $S_7$  increases from 0.39% to 6.5% when  $\Delta H$  increases from 300 mm to 900 mm, indicating that the migration degree of fine sand enhances with increasing  $\Delta H$ .

Fig. 10 shows the variation in  $\Delta R_f$  with  $\Delta H$ . The variation tendency of  $\Delta R_f$  in different areas significantly differ. When  $\Delta H$  is low (300 mm or 450 mm), the  $\Delta R_f$  values in all storerooms are small, indicating that the movement of fine sand slightly influences the  $\Delta R_f$ . When  $\Delta H$  is larger than 450 mm,  $\Delta R_f$  in the runoff and transited areas decreases, whereas that in the accumulated area increases with the increasing  $\Delta H$ , and the variation of  $\Delta R_f$  in the transited area is smaller than that in the runoff area. For example, when  $\Delta H$  increases from 450 mm to 900 mm,  $\Delta R_f$  in storeroom  $S_4$  of the runoff area approximately linearly decreased from  $-0.07\%$  to  $-1.39\%$ ,  $\Delta R_f$  in storeroom  $S_5$  of the transited area only decreased from  $-0.20\%$  to  $-0.87\%$ , and  $\Delta R_f$  in storeroom  $S_6$  of the accumulated area increased from 0.29% to 2.91%. The corresponding variation rates of  $\Delta R_f$  in storerooms  $S_4$ ,  $S_5$  and  $S_6$  are  $-0.29\%$ ,  $-0.15\%$  and 0.58%, respectively, with each increase in  $\Delta H$  equal to 100 mm.

#### 4.1.2. Investigation on $C_i$

Fig. 11 shows the variation in  $k_i$  with  $T$  in case U-5. In the runoff area from storerooms  $S_1$  to  $S_4$ ,  $k_i$  decreases and then increases obviously with time, which can be caused by the rearrangement of fine sand first and the migration of fine sand next. The increasing degree of  $k_i$  at 180 min along the seepage path decreases, correlating with the values of  $\Delta R_f$  from storerooms  $S_1$  to  $S_4$ . In the transited area, due to the accumulated particles from storeroom  $S_1$  to  $S_4$ , and the fine sand here could migrate to storerooms  $S_6$  and  $S_7$ , the variation in  $k_5$  is small. Moreover, since the calculation process from  $k_4$  to  $k_6$  involves the water-level value in the transited area  $S_5$ , the variation also fluctuates little, and the variation degree is small. In the accumulated area of storeroom  $S_7$ ,  $k_7$  increases due to the rebound of  $h_7$  values because of the boundary effect at the tail of storeroom  $S_7$ .

Fig. 12 shows the distribution of  $C_i$  with  $L$  after non-loss test. When  $\Delta H$  is low (300 mm or 450 mm),  $C_i$  fluctuates with  $L$  because the suffusion degree is relatively small, and all the absolute values of  $C_i$  are smaller than 10%. In other tests with high  $\Delta H$  values (from 600 mm to 900 mm), the variation in  $C_i$  along the seepage path differs, decreasing from the runoff area to the transited area and rebounding in the accumulated area. From storerooms  $S_1$  to  $S_3$ , the values of  $C_i$  are large because of the loss of fine sand. The calculation process of the values from  $C_4$  to  $C_6$  involves the data in the transited area  $S_5$ ; hence, all the values from  $C_4$  to  $C_6$  are smaller than 10%. The rebound of water level  $h_7$  causes the  $C_7$  rebound value in the accumulated area. For example, when  $\Delta H$  is 900 mm, fine sand from storerooms  $S_1$  to  $S_4$  migrate to storerooms after, and  $C_i$  decreases from 144.6% to 59.52%. From storerooms  $S_4$  to  $S_6$ , trough occurs as the values from  $C_4$  to  $C_6$  are 8.46%,  $-3.96\%$  and  $-5.08\%$ , respectively. Due to the influence of the boundary effect mentioned above,  $C_7$  is large, with a value of 114.53%.

#### 4.2. Soil-loss boundary test

##### 4.2.1. Investigation on $\Delta R_f$

Fig. 13 shows the variation in  $\Delta R_f$  with  $L$  after the soil-loss test, decreasing with the increasing  $L$  from storerooms  $S_1$  to  $S_6$  and recovering at storeroom  $S_7$ . Since the soil-loss boundary allows fine sand to pass, all  $\Delta R_f$  values are negative, indicating that all the storerooms belong to the runoff area. According to the suffusion degree, three parts can be divided: the gentle-loss part from

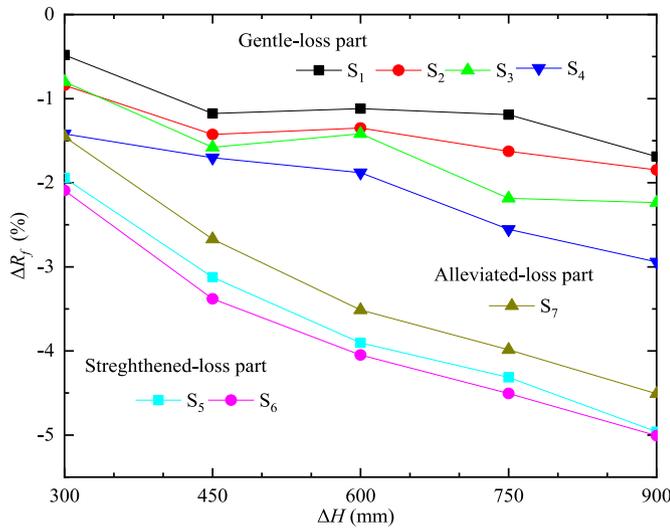


Fig. 14. Variation in  $\Delta R_f$  with  $\Delta H$  after soil-loss test.

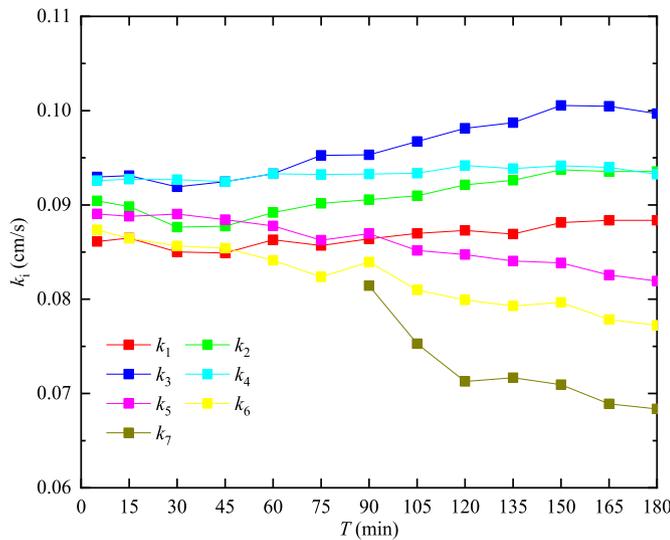


Fig. 15. Variation in  $k_i$  with  $T$  in case O-5.

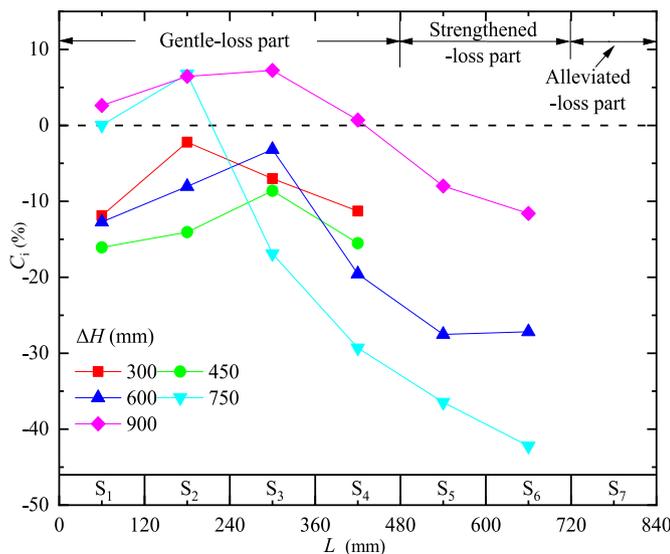


Fig. 16. Distribution of  $C_i$  with  $L$  after soil-loss test.

storerooms  $S_1$  to  $S_4$ , the strengthened-loss part from storerooms  $S_5$  to  $S_6$ , and the alleviated-loss part in storeroom  $S_7$ . The gentle-loss part is far from the soil-loss boundary, and  $\Delta R_f$  decreases slightly with  $L$ . The decrease in  $\Delta R_f$  in the strengthened-loss part is noticeable compared to that in the gentle-loss part. Since the horizontal seam is in the middle height of the round section and the fine sand at the lower semicircle in storeroom  $S_7$  cannot run off, the value of  $\Delta R_f$  rebounds in the alleviated-loss part.

Fig. 14 shows the variation in  $\Delta R_f$  with  $\Delta H$  after the soil-loss test. In the gentle-loss part,  $\Delta R_f$  decreases with the increasing  $\Delta H$ , and all the absolute values are smaller than 3%, indicating that the dislodged degree and the influence of the soil-loss boundary are small. In the strengthened-loss part,  $\Delta R_f$  decreases with the increasing  $\Delta H$ , and the values are much smaller than in the gentle-loss part. All the minimal values of  $\Delta R_f$  occur in storeroom  $S_6$ , decreasing from  $-2.09\%$  to  $-5.01\%$  as  $\Delta H$  increases from 300 mm to 900 mm. In the alleviated-loss part, the fine sand at the lower semicircle cannot run off due to the horizontal seam's limitation. Although  $\Delta R_f$  decreases with the increasing  $\Delta H$ , the values of  $\Delta R_f$  in storeroom  $S_7$  decrease from  $-1.45\%$  to  $-4.51\%$  as  $\Delta H$  increases from 300 mm to 900 mm, of which the change value of  $\Delta R_f$  is the largest in all the storerooms.

#### 4.2.2. Investigation on $C_i$

Fig. 15 shows the variations in  $k_i$  with  $T$  in case O-5. In the gentle-loss part,  $k_i$  fluctuates with  $T$ , and the value at 180 min increases because of the dislodged particles. Tang et al. (2023) proposed that a dense filtering area could occur around the leakage boundary because of the local clogging phenomenon; hence, the soil permeability around the soil-loss boundary might decrease. The variation in  $k_i$  in the strengthened-loss part decreases with  $T$ . Although the observed data in storeroom  $S_7$  only started from 90 min because of the monitoring range limitation, the decreasing degree of  $k_7$  in the alleviated-loss part is more noticeable than that in the strengthened-loss part.

Fig. 16 shows the distribution of  $C_i$  with  $L$  after the soil-loss test, and there is a lack of observed data in storeroom  $S_7$ . All values of  $C_i$  increase and then decrease along the seepage path. In the gentle-loss part, the maximal value occurs at  $C_2$  or  $C_3$ , and values at  $C_1$  or  $C_4$  are small. In the strengthened-loss part,  $C_i$  decreases with the increasing  $L$ , indicating that the soil-loss boundary's influence increases closer to the boundary.

### 5. Discussion on the effect of the boundary condition

#### 5.1. Comparison of $\Delta R_f$

Fig. 17 compares the  $\Delta R_f$  between the non-loss and soil-loss boundaries. The tendency of  $\Delta R_f$  can be classified into two types according to the variation tendency of  $\Delta R_f$ : the similar-effect area from storerooms  $S_1$  to  $S_5$  and the reverse-effect area from storerooms  $S_6$  to  $S_7$ . The variation tendency of  $\Delta R_f$  in the similar-effect area both decreases with the increasing  $\Delta H$  under the non-loss and soil-loss boundaries. Moreover, the differences in  $\Delta R_f$  in the same storeroom under the non-loss and soil-loss boundaries (labeled  $R_{ns}$ ) increase with  $L$ . For example, the value of  $R_{ns}$  is  $-0.26\%$ ,  $-0.04\%$ ,  $0.63\%$ ,  $1.55\%$  and  $4.19\%$ , respectively, from storerooms  $S_1$  to  $S_5$  when  $\Delta H$  is 900 mm, indicating that the boundary's influence enhances gradually.

In the reverse-effect area, the variation tendency of  $\Delta R_f$  owns opposite variations, where  $\Delta R_f$  increases with  $\Delta H$  under the non-loss boundary and decreases with  $\Delta H$  under the soil-loss boundary. This phenomenon means that the boundary condition changes the suffusion mode of fine sand inside coarse matrix particles in storerooms  $S_6$  and  $S_7$ . For example,  $R_{ns}$  increases from  $1.55\%$  to

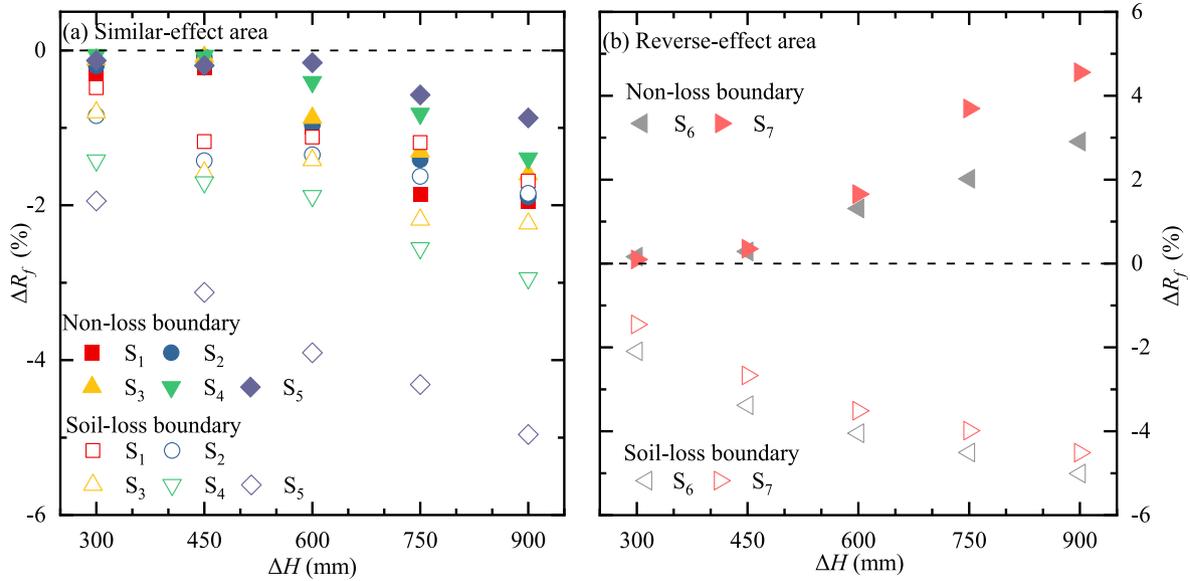


Fig. 17. Comparison of  $\Delta R_f$  between non-loss and soil-loss tests.

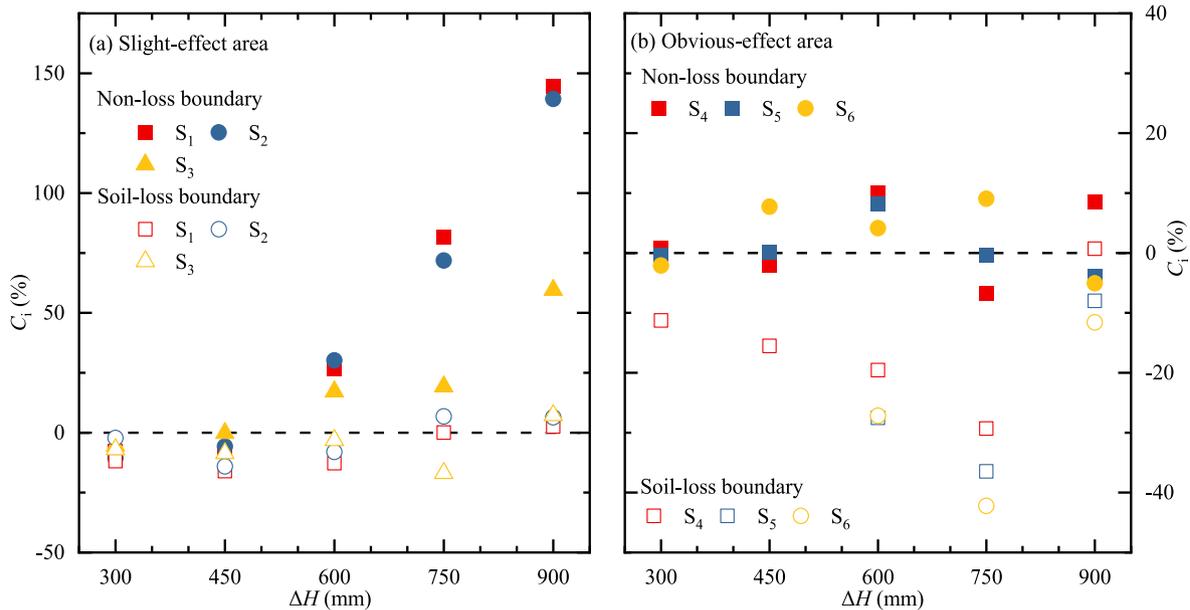


Fig. 18. Comparison of  $C_i$  between non-loss and soil-loss tests.

9.07% in storerooms  $S_7$  when  $\Delta H$  increases from 300 to 900 mm, much larger than  $R_{ns}$  in other storerooms. Liang et al. (2022) found out about 4.55% of fine sand dislodged in horizontal seepage test under soil-loss boundary, where the boundary is holes with a diameter of 0.075 mm distributed uniformly in cross-section. There is a slight difference between the values with 3.31% in the case O-5 because of the different soil-loss boundary.

### 5.2. Comparison of $C_i$

Fig. 18 compares the  $C_i$  between the non-loss and soil-loss tests. Unlike the influence of the boundary condition on  $\Delta R_f$ , the similar-effect area from storerooms  $S_1$  to  $S_3$  and the reverse-effect area from storerooms  $S_4$  to  $S_7$  are divided. In the similar-effect area, the

variation tendency of  $C_i$  increases with the increasing  $\Delta H$ , where the increase under the non-loss test is noticeable, whereas the increase under the soil-loss test fluctuates. The value of  $C_i$  in the non-loss test varies from  $-10.65\%$  to  $144.6\%$ , whereas  $C_i$  varies from  $-16.07\%$  to  $7.23\%$  in the soil-loss test. This phenomenon means that the suffusion process primarily enhances the local seepage ability of soil from storerooms  $S_1$  to  $S_3$  in the non-loss test, whereas the local seepage ability in the soil-loss test is mostly weakened.

In the reverse-effect area, the variation tendency of  $C_i$  differs in the non-loss and soil-loss tests. In the non-loss test, the  $C_i$  value is small and increases and then decreases with  $\Delta H$  due to the accumulated fine sand from the storerooms before and migrated particles to the storerooms behind. However, due to the data lack of  $C_5$

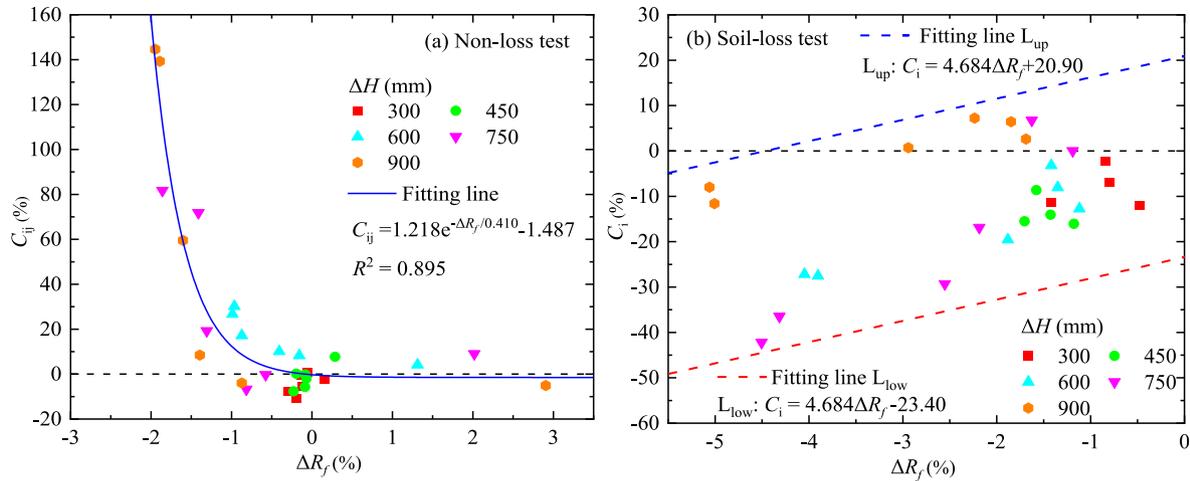


Fig. 19. Relationship between  $\Delta R_f$  and  $C_i$ : (a) Non-loss test, and (b) Soil-loss test.

and  $C_6$  when  $\Delta H$  is 300 mm and 450 mm, only a part of the variation tendency of  $C_i$  in the soil-loss test is presented. The value of  $C_i$  in the soil-loss test decreases first and then increases when  $\Delta H$  increases from 600 mm to 900 mm. Since the clogging phenomenon around the horizontal seam of storeroom  $S_7$  is more severe than in other cases, the decrease in  $Q$  results in a smaller absolute value of  $C_i$  when  $\Delta H$  is 900 mm. Due to the data lack,  $C_7$  in the soil-loss test cannot be present, indicating the same tendency as  $C_6$  in the soil-loss test because of the local clogging phenomenon around the horizontal seam. Deng et al. (2020) studied the variation in  $k_i$  along seepage path in horizontal seepage under soil-loss test, where the result accord with the tendency that the minimum value of  $C_i$  occurs at middle position along seepage path and maximum value of  $C_i$  occurs at position closed to soil-loss boundary.

### 5.3. Relationship between $\Delta R_f$ and $C_i$

Fig. 19 shows the relationship between  $\Delta R_f$  and  $C_i$  in the non-loss and soil-loss tests. Due to the boundary effect in the non-loss boundary test,  $\Delta R_f$  in storeroom  $S_7$  and data of  $C_7$  in the non-loss test are eliminated. The value of  $C_i$  can be fitted using the exponential function (Fig. 19a), where  $C_i$  decreases with the increasing  $\Delta R_f$ . When  $\Delta H$  is relatively low (300 mm or 450 mm), the  $C_i$  values concentrate on the area around the origin, indicating that the suffusion process and the permeability variation are small. Other values are distributed on both sides of the fitting line, and the permeability variation increases with the increasing  $\Delta H$ . By this equation, the value of  $\Delta R_f$  can predict  $C_i$ .

In the soil-loss boundary test, the variation tendency of  $C_i$  differs from that in the non-loss boundary test, indicating that the boundary condition significantly affects the soil permeability. Fig. 19b shows that the value of  $C_i$  increases with the increase in  $\Delta R_f$ , and the scatters of  $C_i$  distribute concentratedly in an area limited by two parallel lines. The parallel lines' slopes are obtained using the least squares method, obtaining the area with a 95% prediction band, of which the upper and lower bound lines can be obtained. The equation of the upper and lower bound lines can predict the upper and lower values of  $C_i$  using the value of  $\Delta R_f$ .

## 6. Conclusions

This study conducted a horizontal experiment with non-loss and soil-loss boundaries to analyze the permeability variation of

gap-graded soil after suffusion. The change content of fine sand ( $\Delta R_f$ ) and change rate of hydraulic conductivity of soils in each storeroom ( $C_i$ ) are analyzed after soil-loss and non-loss tests, respectively. The following conclusions are obtained:

- (1) The experiment apparatus includes a water tank, a horizontal cylinder, water-level monitoring tubes, and a water-collect box. Soil samples are filled into seven soil storerooms (labeled from  $S_1$  to  $S_7$  from upstream to downstream). A round plate with a filter screen or horizontal seam controls the non-loss or soil-loss boundaries. The hydraulic head difference ( $\Delta H$ ) varies during suffusion.
- (2) In the non-loss test, the soil sample filling areas along the seepage path are divided into the runoff area (storerooms  $S_1$  to  $S_4$ ), the transited area (storeroom  $S_5$ ), and the accumulated area (storerooms  $S_6$  to  $S_7$ ) according to the negative or positive values of  $\Delta R_f$ .  $C_i$  decreases from the runoff area to the transited area and rebounds in the accumulated area.
- (3) In the soil-loss test, all soil sample filling areas are in the runoff area, where the gentle-loss part (storerooms  $S_1$  to  $S_4$ ), the strengthened-loss part (storerooms  $S_5$  to  $S_6$ ), and the alleviated-loss part (storeroom  $S_7$ ) are further divided according to the loss degree of fine sand.  $C_i$  increases and then decreases along the seepage path.
- (4) Comparing the results of  $\Delta R_f$  and  $C_i$  in the non-loss and soil-loss tests, similar-effect and reverse-effect areas due to the boundary condition can be divided. The  $\Delta R_f$  and  $C_i$  present similar variation tendencies in the corresponding similar-effect area and transfer to the opposite tendency in the reverse-effect area.
- (5) The relationship between  $C_i$  and  $\Delta R_f$  differs with the boundary condition.  $C_i$  exponentially decreases with the increasing  $\Delta R_f$  in the non-loss test, whereas  $C_i$  distributes in a bond zone limited by two parallel lines in the soil-loss test.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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